

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

3C  
Nov-13-03 01:24pm

From-WOODCOCK WASHBURN 33

JCWSCS 13 NOV 2003

2155683439

T-071 P.01/05 F-183



# FACSIMILE

DATE: November 13, 2003

## OFFICIAL PAPER

### PHILADELPHIA

One Liberty Place, 46th Floor  
Philadelphia, PA 19103  
215-568-3100  
Fax: 215-568-3439

### SEATTLE

999 Third Avenue, Suite 1606  
Seattle, WA 98104  
206-332-1380  
Fax: 206-624-7317

JOB CODE: 28541

*Please deliver this and the following pages to:*

U.S.P.T.O. Group Art Unit: **OIPE**  
Telecopier No.: **703-746-9195**  
U.S. Serial No.: **10/600,676**  
Client/Matter No.: **ABDT-0564/B030150**  
Sender's Name: **Janis Calvo**  
Pages to Follow: **4**

If transmission is not complete, please call our Philadelphia Office at (215) 568-3100.

### COVER MESSAGE:

**OFFICIAL FACSIMILE. PLEASE DELIVER TO EXAMINER IMMEDIATELY.**

Attached hereto is/are the following documents:

- 1) Request for Corrected Filing Receipt
- 2) Copy of Updated Filing Receipt dated 11/04/03

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERY OF THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

WOODCOCK WASHBURN LLP

A Partnership Including Professional Corporations  
[www.woodcock.com](http://www.woodcock.com)

**DOCKET NO.: ABDT-0564/B030150**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In Re Application of:**

**Harold R. Younger, et al.**

**Application No.: 10/600,676**

**Filing Date: June 19, 2003**

**For: Three-Phase Transformer**

**Confirmation No.: 6072**

**Group Art Unit: 3726**

**Examiner: To Be Assigned**

**CERTIFICATE OF FACSIMILE TRANSMISSION**

DATE: November 13, 2003

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
FACSIMILE TRANSMITTED TO THE PATENT AND  
TRADEMARK OFFICE TO FACSIMILE NUMBER 703-  
746-9195 ON THE DATE LISTED ABOVE.

  
Frank T. Carroll REG NO. 42,392

Office of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Attention:

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.
2. There is an error with respect to the following data which is:
  - ☒ incorrectly entered; and/or
  - ☐ omitted.

DOCKET NO.: ABDT-0564/B030150 - 2 -

PATENT

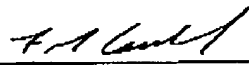
Error in	Correct data
Assignee For Published Application	Assignee For Published Application: ABB Technology AG

*The updated Filing Receipt, dated November 4, 2003 (copy attached) shows Assignee For Published Patent Application as Woodcock Washburn LLP. This is incorrect.*

*Executed Assignment documents to ABB Technology AG were filed for recordation in the U.S. Patent Office on September 26, 2003, and applicants are awaiting notices of recordation for those documents.*

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: November 13, 2003

  
Frank T. Carroll  
Registration No. 42,392

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

© 2003 WW



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Patent Commissioner for Patents  
 P.O. Box 150  
 Alexandria, Virginia 22304-0150

APPL NO	FILING OR 371 (C) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/600,676	06/19/2003	3726	934	ABDT-0564/B030150	7	23	3

23377

WOODCOCK WASHBURN LLP  
 ONE LIBERTY PLACE, 46TH FLOOR  
 1650 MARKET STREET  
 PHILADELPHIA, PA 19103

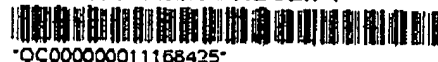
RECEIVED

NOV 07 2003

Woodcock Washburn

CONFIRMATION NO. 6072

UPDATED FILING RECEIPT



\*OC000000011168425\*

Date Mailed: 11/04/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U S APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Harold R. Younger, Halifax, VA;  
 Egil Stryken, Solbergelva, NORWAY;  
 John Wallumrod, Hof, NORWAY;

RECEIVED

NOV 07 2003

DOCKET DEPT.  
WWKMN

## Assignment For Published Patent Application

WOODCOCK WASHBURN LLP, Philadelphia, PA;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted: 09/12/2003

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

Three-phase transformer

**Preliminary Class**

029

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).